**Example:** We would like to describe a language of arithmetic expressions, containing expressions such as:

175 (9+15) (((10-4)\*((1+34)+2))/(3+(-37)))

For simplicity we assume that:

- Expressions are fully parenthesized.
- The only arithmetic operations are "+", "-", "\*", "/" and unary "-".
- Values of operands are natural numbers written in decimal a number is represented as a non-empty sequence of digits.

Alphabet:  $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, +, -, *, /, (,)\}$ 

Example (cont.): A description by an inductive definition:

- Digit is any of characters 0, 1, 2, 3, 4, 5, 6, 7, 8, 9.
- Number is a non-empty sequence of digits, i.e.:
  - If  $\alpha$  is a digit then  $\alpha$  is a number.
  - If  $\alpha$  is a digit and  $\beta$  is a number then also  $\alpha\beta$  is a number.
- **Expression** is a sequence of symbols constructed according to the following rules:
  - If  $\alpha$  is a number then  $\alpha$  is an expression.
  - If  $\alpha$  is an expression then also (- $\alpha$ ) is an expression.
  - If  $\alpha$  and  $\beta$  are expressions then also  $(\alpha + \beta)$  is an expression.
  - If  $\alpha$  and  $\beta$  are expressions then also  $(\alpha \beta)$  is an expression.
  - If  $\alpha$  and  $\beta$  are expressions then also ( $\alpha * \beta$ ) is an expression.
  - If  $\alpha$  and  $\beta$  are expressions then also  $(\alpha/\beta)$  is an expression.

**Example (cont.):** The same information that was described by the previous inductive definition can be represented by a **context-free** grammar:

New auxiliary symbols, called **nonterminals**, are introduced:

- D stands for an arbitrary digit
- C stands for an arbitrary number
- *E* stands for an arbitrary expression

$D \rightarrow 0$ $D \rightarrow 1$ $D \rightarrow 2$ $D \rightarrow 3$ $D \rightarrow 4$	$D \rightarrow 5$ $D \rightarrow 6$ $D \rightarrow 7$ $D \rightarrow 8$ $D \rightarrow 9$	$\begin{array}{l} C \rightarrow D \\ C \rightarrow DC \end{array}$	$E \rightarrow C$ $E \rightarrow (-E)$ $E \rightarrow (E+E)$ $E \rightarrow (E-E)$ $E \rightarrow (E*E)$
$D \rightarrow 4$	$D \rightarrow 9$		$F \rightarrow (F/F)$

Example (cont.): Written in a more succinct way:

$$D \to 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$$
  

$$C \to D \mid DC$$
  

$$E \to C \mid (-E) \mid (E+E) \mid (E-E) \mid (E*E) \mid (E/E)$$

**Example:** A language where words are (possibly empty) sequences of expressions described in the previous example, where individual expressions are separated by commas (the alphabet must be extended with symbol ","):

$$S \to T | \varepsilon$$
  

$$T \to E | E, T$$
  

$$D \to 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$$
  

$$C \to D | DC$$
  

$$E \to C | (-E) | (E+E) | (E-E) | (E*E) | (E/E)$$

**Example:** Statements of some programming language (a fragment of a grammar):

$$\begin{split} S &\rightarrow E; \mid T \mid \text{if } (E) \ S \mid \text{if } (E) \ S \text{ else } S \\ \mid \text{ while } (E) \ S \mid \text{do } S \text{ while } (E); \mid \text{for } (F; F; F) \ S \\ \mid \text{ return } F; \\ T &\rightarrow \{ \ U \ \} \\ U &\rightarrow \varepsilon \mid SU \\ F &\rightarrow \varepsilon \mid E \\ E &\rightarrow \qquad \dots \end{split}$$

Remark:

- *S* statement
- T block of statements
- U sequence of statements
- E expression
- F optional expression that can be omitted

Formally, a context-free grammar is a tuple

 $\mathcal{G} = (\Pi, \Sigma, S, P)$ 

where:

- $\Pi$  is a finite set of **nonterminal symbols** (nonterminals)
- $\Sigma$  is a finite set of **terminal symbols** (terminals), where  $\Pi \cap \Sigma = \emptyset$
- $S \in \Pi$  is an **initial nonterminal**
- $P \subseteq \Pi \times (\Pi \cup \Sigma)^*$  is a finite set of **rewrite rules**

#### **Remarks:**

- We will use uppercase letters *A*, *B*, *C*, ... to denote nonterminal symbols.
- We will use lowercase letters *a*, *b*, *c*, ... or digits 0, 1, 2, ... to denote terminal symbols.
- We will use lowercase Greek letters α, β, γ, ... do denote strings from (Π ∪ Σ)<sup>\*</sup>.
- We will use the following notation for rules instead of  $(A, \alpha)$

#### $A \to \alpha$

- A left-hand side of the rule
- lpha~ right-hand side of the rule

#### **Example:** Grammar $\mathcal{G} = (\Pi, \Sigma, S, P)$ where

- $\Pi = \{A, B, C\}$
- $\Sigma = \{a, b\}$
- S = A
- P contains rules

 $\begin{array}{l} A \rightarrow aBBb \\ A \rightarrow AaA \\ B \rightarrow \varepsilon \\ B \rightarrow bCA \\ C \rightarrow AB \\ C \rightarrow a \\ C \rightarrow b \end{array}$ 

**Remark:** If we have more rules with the same left-hand side, as for example

 $A \rightarrow \alpha_1 \qquad A \rightarrow \alpha_2 \qquad A \rightarrow \alpha_3$ 

we can write them in a more succinct way as

 $A \to \alpha_1 \mid \alpha_2 \mid \alpha_3$ 

For example, the rules of the grammar from the previous slide can be written as

 $A \rightarrow aBBb \mid AaA$  $B \rightarrow \varepsilon \mid bCA$  $C \rightarrow AB \mid a \mid b$ 

Grammars are used for generating words.

**Example:**  $\mathcal{G} = (\Pi, \Sigma, A, P)$  where  $\Pi = \{A, B, C\}, \Sigma = \{a, b\}$ , and P contains rules  $A \rightarrow aBBb \mid AaA$ 

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On strings from  $(\Pi \cup \Sigma)^*$  we define relation  $\Rightarrow \subseteq (\Pi \cup \Sigma)^* \times (\Pi \cup \Sigma)^*$  such that

 $\alpha \Rightarrow \alpha'$ 

iff  $\alpha = \beta_1 A \beta_2$  and  $\alpha' = \beta_1 \gamma \beta_2$  for some  $\beta_1, \beta_2, \gamma \in (\Pi \cup \Sigma)^*$  and  $A \in \Pi$  where  $(A \rightarrow \gamma) \in P$ .

**Example:** If  $(B \rightarrow bCA) \in P$  then

#### $aCBbA \Rightarrow aCbCAbA$

**Remark:** Informally,  $\alpha \Rightarrow \alpha'$  means that it is possible to derive  $\alpha'$  from  $\alpha$  by one step where an occurrence of some nonterminal A in  $\alpha$  is replaced with the right-hand side of some rule  $A \rightarrow \gamma$  with A on the left-hand side.

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## Context-Free Grammars

A derivation of length *n* is a sequence  $\beta_0, \beta_1, \beta_2, \dots, \beta_n$ , where  $\beta_i \in (\Pi \cup \Sigma)^*$ , and where  $\beta_{i-1} \Rightarrow \beta_i$  for all  $1 \le i \le n$ , which can be written more succinctly as

$$\beta_0 \Rightarrow \beta_1 \Rightarrow \beta_2 \Rightarrow \ldots \Rightarrow \beta_{n-1} \Rightarrow \beta_n$$

The fact that for given  $\alpha, \alpha' \in (\Pi \cup \Sigma)^*$  and  $n \in \mathbb{N}$  there exists some derivation  $\beta_0 \Rightarrow \beta_1 \Rightarrow \beta_2 \Rightarrow \ldots \Rightarrow \beta_{n-1} \Rightarrow \beta_n$ , where  $\alpha = \beta_0$  and  $\alpha' = \beta_n$ , is denoted  $\alpha \Rightarrow^n \alpha'$ 

The fact that  $\alpha \Rightarrow^{n} \alpha'$  for some  $n \ge 0$ , is denoted

$$\alpha \Rightarrow^* \alpha'$$

**Remark:** Relation  $\Rightarrow^*$  is the reflexive and transitive closure of relation  $\Rightarrow$  (i.e., the smallest reflexive and transitive relation containing relation  $\Rightarrow$ ).

### **Sentential forms** are those $\alpha \in (\Pi \cup \Sigma)^*$ , for which

 $S \Rightarrow^* \alpha$ 

where S is the initial nonterminal.

A language  $\mathcal{L}(\mathcal{G})$  generated by a grammar  $\mathcal{G} = (\Pi, \Sigma, S, P)$  is the set of all words over alphabet  $\Sigma$  that can be derived by some derivation from the initial nonterminal S using rules from P, i.e.,

$$\mathcal{L}(\mathcal{G}) = \{ w \in \Sigma^* \mid S \Longrightarrow^* w \}$$

#### Definition

A language *L* is **context-free** if there exists some context-free grammar  $\mathcal{G}$  such that  $L = \mathcal{L}(\mathcal{G})$ .

```
\begin{array}{l} A \rightarrow aBBb \mid AaA \\ B \rightarrow \varepsilon \mid bCA \\ C \rightarrow AB \mid a \mid b \end{array}
```

Α

```
\begin{array}{l} A \rightarrow aBBb \mid AaA \\ B \rightarrow \varepsilon \mid bCA \\ C \rightarrow AB \mid a \mid b \end{array}
```

Α

<u>A</u>

 $\begin{array}{l} \underline{A} \rightarrow aBBb \mid AaA \\ B \rightarrow \varepsilon \mid bCA \\ C \rightarrow AB \mid a \mid b \end{array}$ 

A



# $\frac{\underline{A}}{B} \rightarrow \frac{\underline{aBBb}}{\varepsilon} \mid AaA$ $B \rightarrow \varepsilon \mid bCA$ $C \rightarrow AB \mid a \mid b$

#### $\underline{A} \Rightarrow \underline{aBBb}$



 $A \rightarrow aBBb \mid AaA$  $B \rightarrow \varepsilon \mid bCA$  $C \rightarrow AB \mid a \mid b$ 

 $A \Rightarrow aBBb$ 



 $\begin{array}{l} A \rightarrow aBBb \mid AaA \\ \underline{B} \rightarrow \varepsilon \mid bCA \\ C \rightarrow AB \mid a \mid b \end{array}$ 

 $A \Rightarrow a\underline{B}Bb$ 



 $\begin{array}{l} A \rightarrow aBBb \mid AaA \\ \underline{B} \rightarrow \varepsilon \mid \underline{bCA} \\ C \rightarrow AB \mid a \mid b \end{array}$ 

#### $A \Rightarrow a\underline{B}Bb \Rightarrow a\underline{bCA}Bb$



 $\begin{array}{l} A \rightarrow aBBb \mid AaA \\ B \rightarrow \varepsilon \mid bCA \\ C \rightarrow AB \mid a \mid b \end{array}$ 

#### $A \Rightarrow aBBb \Rightarrow abCABb$



 $\frac{\underline{A}}{B} \rightarrow aBBb \mid AaA$  $B \rightarrow \varepsilon \mid bCA$  $C \rightarrow AB \mid a \mid b$ 

#### $A \Rightarrow aBBb \Rightarrow abC\underline{A}Bb$



#### $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaBbBb$



#### $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaBBbBb$



#### $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaB BbBb$



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#### 



 $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaBbBb \Rightarrow abCaBbBb \Rightarrow abbaBb\underline{B}b \Rightarrow abbaBbb$ 



# $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaBBbBb \Rightarrow abCaBbBb \Rightarrow abbaBbBb \Rightarrow abbaBbbb$

17/34



#### 



 $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaBBbBb \Rightarrow abCaBbBb \Rightarrow abbaBbBb \Rightarrow abbaBbBb \Rightarrow abbaBbBb \Rightarrow abbabb$ 



 $A \Rightarrow aBBb \Rightarrow abCABb \Rightarrow abCaBBbBb \Rightarrow abCaBbBb \Rightarrow abbaBbBb \Rightarrow abbaBbb \Rightarrow abbaBbb \Rightarrow abbabb$ 

For each derivation there is some derivation tree:

- Nodes of the tree are labelled with terminals and nonterminals.
- The root of the tree is labelled with the initial nonterminal.
- The leafs of the tree are labelled with terminals or with symbols  $\varepsilon$ .
- The remaining nodes of the tree are labelled with nonterminals.
- If a node is labelled with some nonterminal A then its children are labelled with the symbols from the right-hand side of some rewriting rule A → α.

Example: A grammar generating the language

 $L = \{a^n b^n \mid n \ge 0\}$ 

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Grammar  $\mathcal{G} = (\Pi, \Sigma, S, P)$  where  $\Pi = \{S\}, \Sigma = \{a, b\}$ , and P contains

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 $S \rightarrow \varepsilon \mid aSb$ 

$$S \Rightarrow \varepsilon$$
  

$$S \Rightarrow aSb \Rightarrow ab$$
  

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$
  

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb$$
  

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaaaSbbbb \Rightarrow aaaabbbb$$

**Example:** A grammar generating the language L consisting of all palindroms over the alphabet  $\{a, b\}$ , i.e.,

$$L = \{w \in \{a, b\}^* \mid w = w^R\}$$

**Remark:**  $w^R$  denotes the **reverse** of a word w, i.e., the word w written backwards.

**Example:** A grammar generating the language L consisting of all palindroms over the alphabet  $\{a, b\}$ , i.e.,

$$L = \{w \in \{a, b\}^* \mid w = w^R\}$$

**Remark:**  $w^R$  denotes the **reverse** of a word w, i.e., the word w written backwards.

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 $S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaaba$ 

**Example:** A grammar generating the language L consisting of all correctly parenthesised sequences of symbols '(' and ')'.

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Solution:

$$E \rightarrow a \mid E + E \mid E * E \mid (E)$$

 $E \Rightarrow E + E \Rightarrow E * E + E \Rightarrow (E) * E + E \Rightarrow (E + E) * E + E \Rightarrow$  $(a+E) * E + E \Rightarrow (a+a) * E + E \Rightarrow (a+a) * a + E \Rightarrow (a+a) * a + (E) \Rightarrow$  $(a+a) * a + (E * E) \Rightarrow (a+a) * a + (a * E) \Rightarrow (a+a) * a + (a * a)$ 

### $E \rightarrow a \mid E + E \mid E * E \mid (E)$

A **left derivation** is a derivation where in every step we always replace the leftmost nonterminal.

 $\underline{E} \Rightarrow \underline{E} + E \Rightarrow \underline{E} * E + E \Rightarrow a * \underline{E} + E \Rightarrow a * a + \underline{E} \Rightarrow a * a + a$ 

A **right derivation** is a derivation where in every step we always replace the rightmost nonterminal.

 $\underline{E} \Rightarrow \underline{E} + \underline{E} \Rightarrow \underline{E} + a \Rightarrow \underline{E} * \underline{E} + a \Rightarrow \underline{E} * a + a \Rightarrow a * a + a$ 

A derivation need not be left or right:

 $\underline{E} \Rightarrow \underline{E} + E \Rightarrow E * \underline{E} + E \Rightarrow E * a + \underline{E} \Rightarrow \underline{E} * a + a \Rightarrow a * a + a$ 

- There can be several different derivations corresponding to one derivation tree.
- For every derivation tree, there is exactly one left and exactly one right derivation corresponding to the tree.

Grammars  $\mathcal{G}_1$  and  $\mathcal{G}_2$  are **equivalent** if they generate the same language, i.e., if  $\mathcal{L}(\mathcal{G}_1) = \mathcal{L}(\mathcal{G}_2)$ .

**Remark:** The problem of equivalence of context-free grammars is algorithmically undecidable. It can be shown that it is not possible to construct an algorithm that would decide for any pair of context-free grammars if they are equivalent or not.

Even the problem to decide if a grammar generates the language  $\Sigma^*$  is algorithmically undecidable.

### Ambiguous Grammars

A grammar  $\mathcal{G}$  is **ambiguous** if there is a word  $w \in \mathcal{L}(\mathcal{G})$  that has two different derivation trees, resp. two different left or two different right derivations.

### **Example:** $E \Rightarrow E + E \Rightarrow E * E + E \Rightarrow a * E + E \Rightarrow a * a + E \Rightarrow a * a + a$ $E \Rightarrow E * E \Rightarrow E * E + E \Rightarrow a * E + E \Rightarrow a * a + E \Rightarrow a * a + a$



Sometimes it is possible to replace an ambiguous grammar with a grammar generating the same language but which is not ambiguous.

Example: A grammar

 $E \rightarrow a \mid E + E \mid E * E \mid (E)$ 

can be replaced with the equivalent grammar

 $E \to T \mid T + E$  $T \to F \mid F * T$  $F \to a \mid (E)$ 

**Remark:** If there is no unambiguous grammar equivalent to a given ambiguous grammar, we say it is **inherently ambiguous**.

The class of context-free languages is closed with respect to:

- concatenation
- union
- iteration

The class of context-free languages is not closed with respect to:

- complement
- intersection

# Context-Free Languages

We have two grammars  $\mathcal{G}_1 = (\Pi_1, \Sigma, S_1, P_1)$  and  $\mathcal{G}_2 = (\Pi_2, \Sigma, S_2, P_2)$ , and can assume that  $\Pi_1 \cap \Pi_2 = \emptyset$  and  $S \notin \Pi_1 \cup \Pi_2$ .

• Grammar  $\mathcal{G}$  such that  $\mathcal{L}(\mathcal{G}) = \mathcal{L}(\mathcal{G}_1) \cdot \mathcal{L}(\mathcal{G}_2)$ :

 $\mathcal{G} = (\Pi_1 \cup \Pi_2 \cup \{S\}, \Sigma, S, P_1 \cup P_2 \cup \{S \rightarrow S_1S_2\})$ 

• Grammar  $\mathcal{G}$  such that  $\mathcal{L}(\mathcal{G}) = \mathcal{L}(\mathcal{G}_1) \cup \mathcal{L}(\mathcal{G}_2)$ :

 $\mathcal{G} = (\Pi_1 \cup \Pi_2 \cup \{S\}, \Sigma, S, P_1 \cup P_2 \cup \{S \rightarrow S_1, S \rightarrow S_2\})$ 

• Grammar  $\mathcal{G}$  such that  $\mathcal{L}(\mathcal{G}) = \mathcal{L}(\mathcal{G}_1)^*$ :

 $\mathcal{G} = (\Pi_1 \cup \{S\}, \Sigma, S, P_1 \cup \{S \rightarrow \varepsilon, S \rightarrow S_1S\})$ 





















$$S \rightarrow A \mid C$$

$$A \rightarrow aB \mid aC \mid bA$$

$$B \rightarrow aD \mid bE$$

$$C \rightarrow bD$$

$$D \rightarrow bC \mid bE \mid A$$

$$E \rightarrow bE$$



$$S \rightarrow A \mid C$$

$$A \rightarrow aB \mid aC \mid bA$$

$$B \rightarrow aD \mid bE$$

$$C \rightarrow bD$$

$$D \rightarrow bC \mid bE \mid A$$

$$E \rightarrow bE$$

$$A \rightarrow \varepsilon$$

$$E \rightarrow \varepsilon$$

### Example:

#### Alternative construction:



#### Example:

#### 

### Alternative construction:

$$S \rightarrow A \mid E$$

#### Example:



Alternative construction:

 $S \rightarrow A \mid E$   $A \rightarrow Ab \mid D$   $B \rightarrow Aa$   $C \rightarrow Aa \mid Db$   $D \rightarrow Ba \mid Cb$   $E \rightarrow Bb \mid Db \mid Eb$ 

#### Example:



Alternative construction:

 $S \rightarrow A \mid E$   $A \rightarrow Ab \mid D$   $B \rightarrow Aa$   $C \rightarrow Aa \mid Db$   $D \rightarrow Ba \mid Cb$   $E \rightarrow Bb \mid Db \mid Eb$   $A \rightarrow \varepsilon$   $C \rightarrow \varepsilon$ 

# Regular grammars

### Definition

A grammar  $\mathcal{G} = (\Pi, \Sigma, S, P)$  is **right regular** if all rules in P are of the following forms (where  $A, B \in \Pi, a \in \Sigma$ ):

- $A \rightarrow B$
- $A \rightarrow aB$
- $A \rightarrow \varepsilon$

### Definition

A grammar  $\mathcal{G} = (\Pi, \Sigma, S, P)$  is **left regular** if all rules in P are of the following forms (kde  $A, B \in \Pi, a \in \Sigma$ ):

- $A \rightarrow B$
- $A \rightarrow Ba$
- $A \rightarrow \varepsilon$

### Definition

A grammar  $\mathcal{G}$  is **regular** if it right regular or left regular.

**Remark:** Sometimes a slightly more general definition of right (resp. left) regular grammars is given, allowing all rules of the following forms:

• 
$$A \rightarrow wB$$
 (resp.  $A \rightarrow Bw$ )

• 
$$A \rightarrow w$$

where  $A, B \in \Pi$ ,  $w \in \Sigma^*$ .

Such rules can be easily "decomposed" into rules of the form in the previous definition.

**Example:** Rule  $A \rightarrow abbB$  can be replaced with rules

$$A \to aX_1 \qquad X_1 \to bX_2 \qquad X_2 \to bB$$

where  $X_1$ ,  $X_2$  are new nonterminals, not used anywhere else in the grammar.

### Proposition

For every regular language L there is a left regular grammar  $\mathcal{G}$  such that  $\mathcal{L}(\mathcal{G}) = L$  and a right regular grammar  $\mathcal{G}'$  such that  $\mathcal{L}(\mathcal{G}') = L$ .

### Proposition

For every regular grammar  $\mathcal{G}$  there is a finite automaton  $\mathcal{A}$  such that  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{G})$ .