

# Classes L and NL

# Logarithmic amount of memory

One specific kind of algorithms are algorithms that use extremely small amount of memory — asymptotically smaller than  $n$  where  $n$  is the size of an input.

In particular, we will concentrate here on problems with **logarithmic space complexity**, i.e., the space complexity  $\mathcal{O}(\log n)$ .

- It is obvious that an algorithm whose time complexity is smaller than  $n$  does not have enough memory to store whole input instance in memory.
- So for algorithms that work with such small amount of memory, the memory used to store an input is not counted into their space complexity.

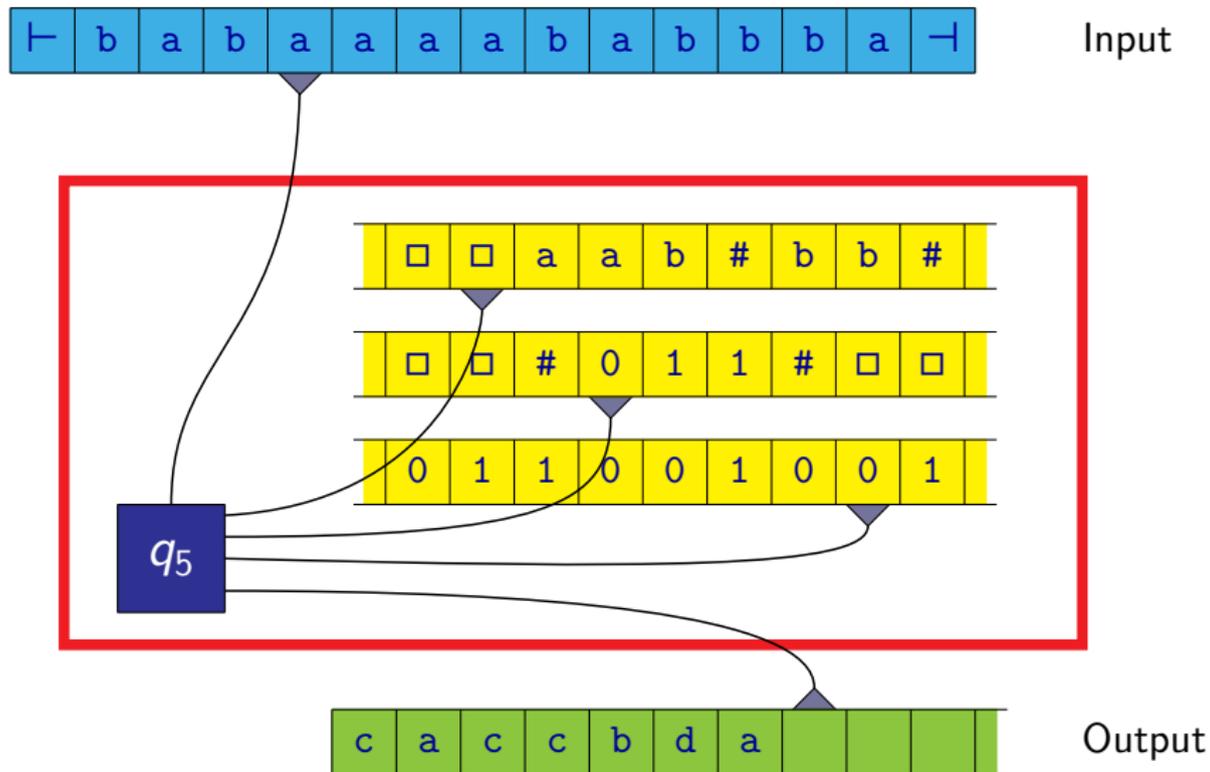
# Logarithmic amount of memory

For such algorithms we assume that they are executed by a type of machine (e.g., a Turing machine) that has:

- **Input tape** — it contains an input word, delimited from the left and from the right by special markers '┌' and '└', the machine can not write on it (it is read-only), it has one head that can move in both directions
- **Output tape** — the machine can only write on it (it is write-only), it can not read from it, it is empty at the beginning of a computation, the head can move only from the left to the right
- **Working memory** — it can be read from it and written to it; e.g., in the case of Turing machines, it has a form of one or more tapes

The amount of used memory is given by the number of bits that are sufficient for storing the content of the **working memory** during computation.

# Logarithmic amount of memory



# Logarithmic amount of memory

If the size of an input is  $n$ ,  $\mathcal{O}(\log n)$  bits of memory are only sufficient to store some fixed finite number of values where each of them requires at most  $\mathcal{O}(\log n)$  bits.

Using  $k$  bits, we can represent numbers in the interval from 0 to  $2^k - 1$ . So logarithmic number of bits are sufficient to represent a number whose value is bounded by a polynomial (i.e., a number whose maximal value is  $\mathcal{O}(n^c)$  where  $c$  is a constant).

By such numbers we can represent for example:

- an index of a cell on the input tape — basically a pointer to the input data
- a counter whose value is bounded by a polynomial
- in graph algorithms, for example an index of a node or an edge
- in algorithms working with matrices, for example an index of a row or a column

On the other hand,  $\mathcal{O}(\log n)$  bits of memory are **not sufficient** to store things like:

- To store at least 1 bit of information (for example some flag) for each element from an input when the input consists of a sequence of  $n$  elements.
- In graph algorithms, to remember, which nodes have been visited.

# Logarithmic amount of memory

**Example:** Consider problems where an input looks as follows:

**Input:** A pair of numbers  $x$  and  $y$  where these numbers are represented in binary as sequences of  $n$  bits.

There are algorithms with logarithmic space complexity for things like:

- the sum and the difference of numbers  $x$  and  $y$  (i.e., the values  $x + y$  and  $x - y$ )
- the product of numbers  $x$  and  $y$  (i.e., the value  $x \cdot y$ )
- finding out whether  $x = y$ ,  $x < y$ ,  $x \leq y$
- the maximum and minimum (the values  $\max(x, y)$  and  $\min(x, y)$ )

# Logarithmic amount of memory

**Example:** Consider problems where an input looks as follows:

**Input:** A sequence of numbers  $a_1, a_2, \dots, a_k$ .

Let us say that  $n$  is the total number of bits necessary to represent numbers  $a_1, a_2, \dots, a_k$ .

There are algorithms with space complexity  $\mathcal{O}(\log n)$  that can compute for example the following:

- to sort the elements from the smallest to the biggest
- the sum  $a_1 + a_2 + \dots + a_k$

**Remark:** Note that computing the sum of numbers  $a_1, a_2, \dots, a_k$  in space  $\mathcal{O}(\log n)$  is not a completely trivial problem since some of the numbers can require more than  $\mathcal{O}(\log n)$  bits — consider for example the case where we have  $\sqrt{n}$  numbers where each of these numbers has  $\sqrt{n}$  bits.

# Logarithmic amount of memory

**Example:** Also the following problem can be solved with space complexity  $\mathcal{O}(\log n)$ :

## Matrix multiplication

**Input:** Matrices  $A$  and  $B$  whose elements are natural numbers.

**Output:** The matrix  $A \cdot B$ .

**Remark:** Similarly as in the previous case, the size of an input  $n$  is the total number of bits necessary to store matrices  $A$  and  $B$  (i.e., to write all their elements).

It is possible that some of these elements of these matrices have more than  $\mathcal{O}(\log n)$  bits.

So this problem is not as simple as it may look at the first sight.

# Logarithmic amount of memory

Also the following problem can be easily solved by a deterministic algorithm with space complexity  $\mathcal{O}(\log n)$ :

**Input:** A word  $w$  consisting of different kinds of parenthesis  $([_1, ]_1, [_2, ]_2, \dots, [_r, ]_r)$ .

**Question:** Is  $w$  a correctly parenthesised sequence?

A correctly parenthesised sequence here means a sequence belonging to the language generated by the following context-free grammar:

$$A \rightarrow \varepsilon \mid AA \mid [_1 A ]_1 \mid [_2 A ]_2 \mid \dots \mid [_r A ]_r$$

It is interesting that most of polynomial time reductions used for example in proofs of **NP**-hardness, **PSPACE**-completeness, etc., of different problems (that we have seen in the previous lectures or that are described in a literature) can in fact be implemented as a (deterministic) algorithm working with a logarithmic amount of memory.

Such reduction are called **logspace reductions**.

## Definition

A **logspace reduction** of a decision problem  $A$  to a decision problem  $B$  is a deterministic algorithm  $Alg$  with space complexity  $\mathcal{O}(\log n)$  that:

- It obtains an instance  $x$  of problem  $A$  as an input.
- It produces an instance  $y$  of problem  $B$  as an output.
- The answer for the instance  $y$  of problem  $B$  is **YES** iff the answer for the instance  $x$  of problem  $A$  is **YES**.

## Theorem

If there exist:

- a logspace reduction from problem  $A$  to problem  $B$ , and
- a logspace reduction from problem  $B$  to problem  $C$ ,

then there is also:

- a logspace reduction from problem  $A$  to problem  $C$ .

**Proof:** Let us assume that:

- $Alg_1$  is a logspace reduction from problem  $A$  to problem  $B$
- $Alg_2$  is a logspace reduction from problem  $B$  to problem  $C$

The following simple construction, that works correctly for polynomial time reduction, does not work:

- to apply the reduction  $Alg_1$  to an instance  $x$  of problem  $A$ , and then to apply the reduction  $Alg_2$  to the resulting instance of problem  $B$

The problem with this simple construction is that the resulting algorithm is a reduction but not necessary a logspace reduction:

- An instance  $y$  of problem  $B$  constructed by the reduction  $Alg_1$  can be of a polynomial size with respect to the size of the original instance  $x$  of problem  $A$ 
  - a working memory of logarithmic size is not sufficient for storing this instance  $y$

# Logspace reductions

It is necessary to use a different approach — an algorithm transforming an instance of problem  $A$  to an instance of problem  $C$  will work as follows:

- It will simulate a computation of the algorithm  $Alg_2$ .
- It will remember the position of its head on its input tape — this position will be represented in binary ( $\mathcal{O}(\log n)$  bits are sufficient for this).
- Whenever the algorithm  $Alg_2$  needs to read a symbol from its input:
  - It will start a simulation of the algorithm  $Alg_1$  from the beginning.
  - In those steps, where the algorithm  $Alg_1$  would write a symbol to its output, this symbol is not written anywhere. Instead, a counter of written symbols is incremented by 1.
  - At the moment when  $Alg_1$  would write a symbol to a position that  $Alg_2$  needs to read, the simulation of  $Alg_1$  is stopped, and the algorithm  $Alg_2$  obtains the corresponding symbols, and the simulation of  $Alg_2$  continues.

It is not difficult to see the following:

- Let us assume that problem  $A$  is logspace reducible to problem  $B$ .
- If there would exist an algorithm with logarithmic space complexity solving problem  $B$ , there would exist also an algorithm solving problem  $A$  with logarithmic space complexity.
- So if there is no algorithm with logarithmic space complexity solving problem  $A$ , then there is also no algorithm solving problem  $B$  with logarithmic space complexity.

# Logarithmic amount of memory

No **deterministic** algorithm with logarithmic space complexity is known for the following problem:

## Graph Reachability

**Input:** A directed graph  $G = (V, E)$  with two designated nodes  $s$  and  $t$ .

**Question:** Is there a path from node  $s$  to node  $t$  in the graph  $G$ ?

But obviously there is a very simple **nondeterministic** algorithm with space complexity  $\mathcal{O}(\log n)$  solving this problem:

- It remembers only a current node  $v$  and a value of a counter  $c$ .
- It initializes  $v := s$  and  $c := m - 1$  where  $m$  is the number of nodes of the graph  $G$ .
- It nondeterministically guesses a path, and with every step, it decrements the value of the counter by 1.

Let us recall definitions of the following classes:

## The class LOGSPACE (shortly L)

The class **LOGSPACE** (shortly **L**) consists of exactly those decision problems, for which there exists a **deterministic** algorithm with space complexity  $\mathcal{O}(\log n)$ .

## The class NLOGSPACE (shortly NL)

The class **NLOGSPACE** (shortly **NL**) consists of exactly those decision problems, for which there exists a **nondeterministic** algorithm with space complexity  $\mathcal{O}(\log n)$ .

# Classes L and NL

- It is obvious that  $L \subseteq NL$ .
- Similarly as in the case of classes P and NP where it is not known whether  $P = NP$ , also for the classes L and NL it is not known whether  $L = NL$ .  
(It seems that probably this equality does not hold but there is no proof of that.)

**Example:** We have seen the following:

The “*Graph Reachability*” problem is in NL.

It seems that this problem is not in L but it is not sure.

## Definition

- A problem  $A$  is **NL-hard** if every problem from  $NL$  is logspace reducible to the problem  $A$ .
- A problem  $A$  is **NL-complete** if it is  $NL$ -hard and belongs to  $NL$ .
  
- If any  $NL$ -complete problem could be solved by a deterministic algorithm with logarithmic space complexity, it would mean that  $L = NL$ .
- If there would be at least one problem that is in  $NL$  but not in  $L$ , then there surely could not exist a deterministic algorithm with logarithmic space complexity for any  $NL$ -hard problem.

## Theorem

“Graph Reachability” is an NL-complete problem.

### Proof idea:

We have already seen that this problem belongs to NL.

We must show that for every problem  $A$  from NL there exists a logspace reduction from  $A$  to the graph reachability problem.

Since problem  $A$  belongs to NL, there exists a nondeterministic machine  $\mathcal{M}$  (e.g., a Turing machine or other type of a machine) with logarithmic space complexity that solves it.

The number of possible configurations of the machine  $\mathcal{M}$  on the given input  $x$  of size  $n$  will be polynomial.

In a logarithmic space, it is possible to generate a graph where:

- **nodes** — configurations of the machine  $\mathcal{M}$
- **edges** — transitions between these configurations

# NL-complete problems

Using logspace reductions from this problem, we can show NL-hardness of other problems.

Examples of some NL-complete problems:

## 2-UNSAT

**Input:** Boolean formula  $\varphi$  in conjunctive normal form where every clause contains exactly 2 literals.

**Question:** Is the formula  $\varphi$  unsatisfiable (i.e., is it a contradiction)?

## Accepting a word by an NFA

**Input:** Nondeterministic finite automaton  $\mathcal{A}$  and a word  $w$ .

**Question:** Does the automaton  $\mathcal{A}$  accept the word  $w$   
(i.e., does  $w \in \mathcal{L}(\mathcal{A})$  hold)?

## Reachable nonterminals in a context-free grammar

**Input:** A context-free grammar  $\mathcal{G} = (\Pi, \Sigma, S, P)$  and nonterminal  $B \in \Pi$ .

**Question:** Are there some  $\alpha, \beta \in (\Pi \cup \Sigma)^*$  such that  $S \Rightarrow^* \alpha B \beta$ ?

# NL-complete problems

## Emptiness of a language accepted by a DFA

Input: A deterministic finite automaton  $\mathcal{A}$ .

Question: Does  $\mathcal{L}(\mathcal{A}) = \emptyset$  hold?

## Universality of a DFA

Input: A deterministic finite automaton  $\mathcal{A}$ .

Question: Does  $\mathcal{L}(\mathcal{A}) = \Sigma^*$  hold?

## Equivalence of DFA

Input: Deterministic finite automata  $\mathcal{A}_1$  and  $\mathcal{A}_2$ .

Question: Does  $\mathcal{L}(\mathcal{A}_1) = \mathcal{L}(\mathcal{A}_2)$  hold?

## Generating an element by an associative operation

**Input:** A finite set  $X$ , an associative binary operation  $\circ$  on the set  $X$  (given in a form of a table specifying values  $x \circ y$  for each pair  $x, y \in X$ ), a subset  $S \subseteq X$ , and an element  $t \in X$ .

**Question:** Is it possible to generate the element  $t$  from the elements of the set  $S$ ?

An element  $t$  can be generated from the elements of a set  $S$  if there exists a sequence  $x_1, x_2, \dots, x_k$  of elements of the set  $S$  such that

$$t = x_1 \circ x_2 \circ \dots \circ x_k$$

# P-complete problems

# P-complete problems

Let us recall that  $P$  (resp.  $P$ TIME) is the class of decision problems solvable by an algorithm with a **polynomial** time complexity.

## Definition

- A problem  $A$  is **P-hard** if every problem from  $P$  is logspace reducible to the problem  $A$ .
- A problem  $A$  is **P-complete** if it is P-hard and it belongs to the class  $P$ .
  
- It is obvious that  $NL \subseteq P$ .
- Whether  $NL = P$  is not known. (It seems that probably  $NL \neq P$ )
- If any P-complete problem would be in  $NL$ , then every problem from  $P$  would be in  $NL$ , and we would have  $NL = P$ .
- On the other hand, if there would at least one problem in  $P$  that would not be in  $NL$ , then no P-complete problem would be in  $NL$ .

P-complete problems play an important role as problems that:

- They can be solved in a polynomial time.
- They are **difficult to parallelize** in the sense that it seems that there are no **efficient parallel** algorithms for them, i.e., algorithms that:
  - use a polynomial number of processors
  - work in a polylogarithmic time  
(i.e., in time  $\mathcal{O}(\log^k n)$  for some constant  $k$ )

**Remark:** The class of problems that can be solved by such efficient parallel algorithms is denoted **NC**.

We will deal with the class **NC** (and parallel algorithms in general) later.

A **Boolean circuit** is a directed acyclic graph consisting of nodes of two types — **inputs** and **gates**, and edges that represent **wires**:

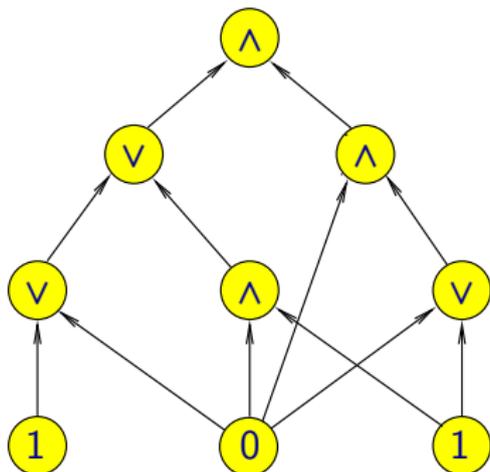
- **Inputs** — there are no wires going to them, they are denoted by names of boolean variables (every input with a different variable) — a value of the given input is given by an assignment of a boolean variable to the corresponding variable
- **Gates** — are of three different types:
  - **NOT** — negation; exactly one edge enters into this gate
  - **AND** — conjunction; there are always at least two edges entering this gate
  - **OR** — disjunction; there are always at least two edge entering this gate
- One of the nodes is denoted as an output.

# Circuit Value Problem (CVP)

## Circuit Value Problem (CVP)

**Input:** A description of a boolean circuit  $G$  and a truth valuation  $\nu$  representing values assigned to its inputs.

**Question:** Is the value 1 on the output of the circuit  $G$  in the given assignment  $\nu$ ?

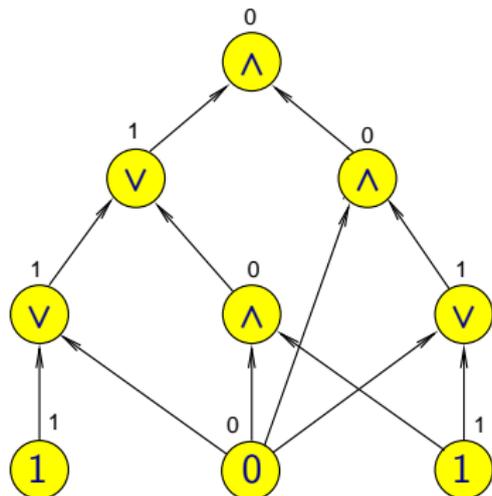


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# Circuit Value Problem (CVP)

## Theorem

CVP is  $P$ -complete problem.

### Proof idea:

The fact that CVP belongs to the class  $P$  is obvious — a straightforward algorithm evaluating values of all gates has obviously a polynomial time complexity.

We need to show that every problem from  $P$  is logspace reducible to CVP.

Let us assume we have a problem  $A$  from the class  $P$ .

There exists a polynomial algorithm that solves the problem  $A$ .

This algorithm can be implemented as a machine (e.g., a Turing machine)  $\mathcal{M}$  with a polynomial time complexity that can be bounded from above by some polynomial  $p(n)$ .

# Circuit Value Problem (CVP)

The length of a computation on an input of size  $n$  is at most  $p(n)$ .

Individual configuration of the machine  $\mathcal{M}$  can be encoded as sequences of  $\mathcal{O}(p(n))$  bits.

A circuit is constructed for an input  $x$ :

- It will consist of  $p(n) + 1$  “levels” that would correspond to configurations  $\alpha_0, \alpha_1, \dots, \alpha_{p(n)}$  through which the machine  $\mathcal{M}$  goes in the computation on the input  $x$ .
- The inputs will represent the initial configuration  $\alpha_0$ .
- Between levels  $i$  and  $i + 1$  (where  $0 \leq i < p(n)$ ) we add a circuit that computes a binary representation of a configuration  $\alpha_{i+1}$  from a binary representation of a configuration  $\alpha_i$ .
- After the last level (level  $p(n)$ ) we add a circuit that generates output **1** iff the values on this level represent an accepting configuration.

# Circuit Value Problem (CVP)

## Definition

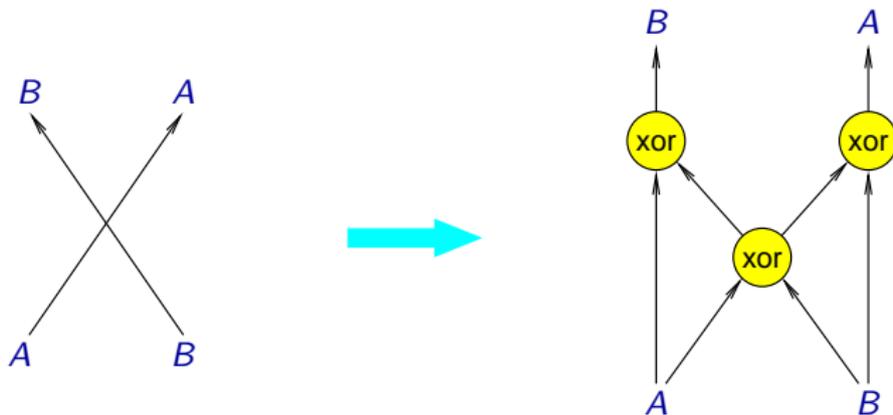
A directed acyclic graph  $G = (V, E)$  is **topologically sorted** if its nodes are numbered by numbers  $\{1, 2, \dots, n\}$  in such a way that for every edge  $(i, j) \in E$  we have  $i < j$  (i.e., edges go only from nodes with lower indexes to nodes with higher indexes).

- It is not difficult to see that the construction in the proof of  $P$ -completeness of CVP can be done in such a way that the resulting graph of the constructed circuit is topologically sorted.
- So the CVP remains  $P$ -complete also in the special case where we require that the graph of the circuit is topologically sorted.

# Circuit Value Problem (CVP) — a planar graph

Using a logspace reduction from CVP we can show **P**-completeness of CVP also in the special case where we require that the graph of a circuit is **planar**.

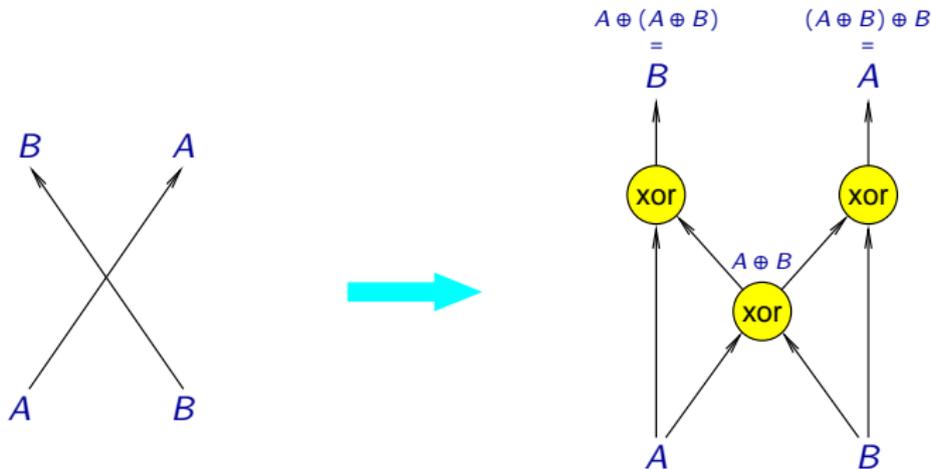
**Proof idea:** A crossing of wires can be replaced with three **XOR** gates:



# Circuit Value Problem (CVP) — a planar graph

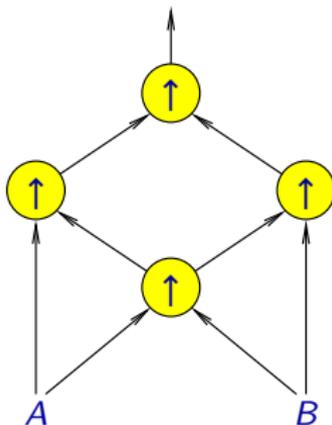
Using a logspace reduction from CVP we can show  $P$ -completeness of CVP also in the special case where we require that the graph of a circuit is **planar**.

**Proof idea:** A crossing of wires can be replaced with three **XOR** gates:



# Circuit Value Problem (CVP) — a planar graph

A **XOR** gate can be implemented using four **NAND** gates in such a way that the resulting graph is planar:



$$\begin{aligned}(A \uparrow (A \uparrow B)) \uparrow ((A \uparrow B) \uparrow B) &\Leftrightarrow \neg((A \uparrow (A \uparrow B)) \wedge ((A \uparrow B) \uparrow B)) \\ &\Leftrightarrow \neg(A \uparrow (A \uparrow B)) \vee \neg((A \uparrow B) \uparrow B) \Leftrightarrow (A \wedge (A \uparrow B)) \vee ((A \uparrow B) \wedge B) \\ &\Leftrightarrow (A \wedge \neg(A \wedge B)) \vee (\neg(A \wedge B) \wedge B) \Leftrightarrow (A \wedge (\neg A \vee \neg B)) \vee ((\neg A \vee \neg B) \wedge B) \\ &\Leftrightarrow (A \wedge \neg B) \vee (\neg A \wedge B) \Leftrightarrow A \text{ xor } B\end{aligned}$$

# Monotone Circuit Value Problem (MCVP)

A boolean circuit is **monotone** if it does not contain **NOT** gates.

## Monotone Circuit Value Problem (MCVP)

**Input:** A description of a monotone boolean circuit  $G$  where moreover exactly two wires enter to each gate of type **AND** and **OR**, and a truth valuation  $\nu$ .

**Question:** Is the output value of the circuit  $G$  for the valuation  $\nu$  the value **1**?

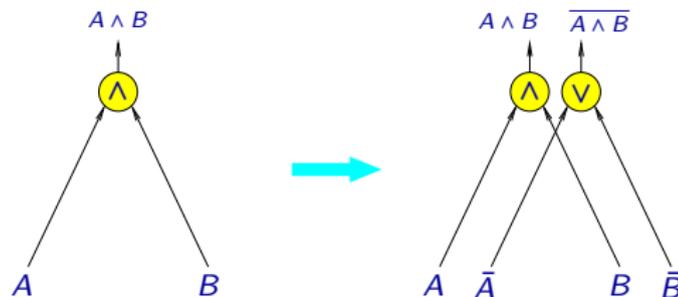
By a logspace reduction from CVP we can show the following:

## Theorem

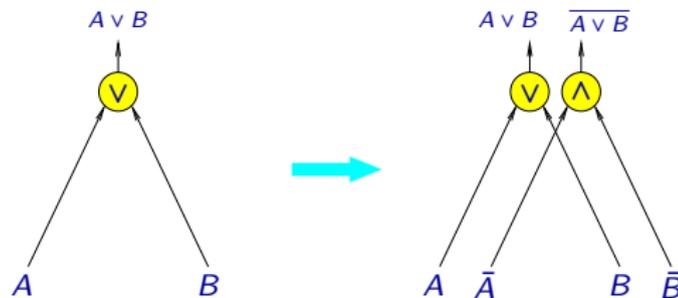
MCVP is P-complete problem.

# Monotone Circuit Value Problem (MCVP)

- Replacement of **AND** gate:



- Replacement of **OR** gate:

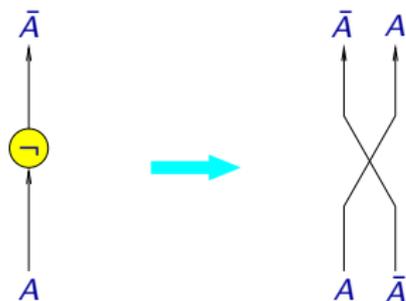


# Monotone Circuit Value Problem (MCVP)

- Replacements of inputs:



- Replacement of NOT gate:



# Examples of P-complete problems

Using logspace reductions from MCVP, we can show P-hardness of other problems.

Several examples of P-complete problems are described.

## Combinatorial game

**Input:** A combinatorial game of two players where a graph of the game is given explicitly, i.e., where all positions and possible moves are listed explicitly.

**Question:** Does *Player I* have a winning strategy in this game?

# Examples of P-complete problems

## Generating a word by a context-free grammar

**Input:** A context-free grammar  $\mathcal{G}$  and a word  $w \in \Sigma^*$ .

**Question:** Does the word  $w$  belong to the language generated by the grammar  $\mathcal{G}$  (i.e., does  $w \in \mathcal{L}(\mathcal{G})$  hold)?

## Emptiness of a language generated by a context-free grammar

**Input:** A context-free grammar  $\mathcal{G}$ .

**Question:** Does  $\mathcal{L}(\mathcal{G}) = \emptyset$  hold?

## Infinity of a language generated by a context-free grammar

**Input:** A context-free language  $\mathcal{G}$ .

**Question:** Is the language  $\mathcal{L}(\mathcal{G})$  infinite?

# Examples of P-complete problems

## Generating of an element by a binary operation

**Input:** A finite set  $X$ , a binary operation  $\circ$  on the set  $X$  (given as a table specifying value  $x \circ y$  for each pair  $x, y \in X$ ), a subset  $S \subseteq X$ , and an element  $t \in X$ .

**Question:** Is it possible to generate the element  $t$  from elements of the set  $S$ ?

An element  $t$  can be generated from elements of a set  $S$  if there exists an expression consisting of constants representing the elements from the set  $S$ , on which the operation  $\circ$  can be applied, where the value of this expression is  $t$ .

Another way how to say this, is to specify that the element  $t$  belongs to the smallest  $Y$  (where  $Y \subseteq X$ ), satisfying two following conditions:

- $S \subseteq Y$
- for each two elements  $x, y \in Y$  it holds that  $x \circ y \in Y$ .

# Examples of P-complete problems

## Maximum flow problem

**Input:** A network  $G$  with capacities of edges, with a source  $s$  and a sink  $t$ , and a number  $k$ .

**Question:** Has the  $k$ -th bit of the number representing the maximal flow in  $G$  from the source to the sink the value  $1$ ?

## Depth-first search

**Input:** A directed graph  $G = (V, E)$  where it is specified for each node a particular ordering of edges going out of this node, the initial node  $s \in V$ , and a pair of nodes  $u, v \in V$ .

**Question:** In the depth-first search of the graph  $G$  that starts in the node  $s$ , and that goes through edges going out of each node in the specified order, is the node  $u$  visited before the node  $v$ ?